



PAK OMAN INVESTMENT COMPANY LIMITED

Investor Portfolio Services (IPS) Account Opening Form
INDIVIDUALS

		Date:
PART-A PARTICULARS OF APPLICANT		ACCOUNT #/Code:
Account Title <small>(Name of applicant as per CNIC/NICOP/Passport)</small>		CONTACT DETAILS
Father's/Husband's Name		Residence
Contact Person		Office
Mailing Address		Mobile
Permanent Address		Fax
CNIC/NICOP #		E-mail
Date of Expiry:		NTN
Passport #	Date of Expiry:	Nationality
Date of Issue:	Place of Issue:	
Residential Status: Mark Tick <input type="checkbox"/> [v] in appropriate box		Resident <input type="checkbox"/> Non-resident <input type="checkbox"/>
Pakistani	<input type="checkbox"/>	
Foreign National	<input type="checkbox"/>	
PART-B OCCUPATION		
Agriculturist <input type="checkbox"/>	Service <input type="checkbox"/>	Household <input type="checkbox"/>
Industrialist <input type="checkbox"/>	House wife <input type="checkbox"/>	Business Executive <input type="checkbox"/>
Retired Person <input type="checkbox"/>	Professional <input type="checkbox"/>	Students <input type="checkbox"/>
Business <input type="checkbox"/>	Others (specify) <input type="checkbox"/>	Others: _____
PART-C JOINT APPLICANT DETAILS <small>Any addition/deletion in the name of Joint Applicant is not permissible after opening of account</small>		
NAME AS APPEARING ON CNIC/NICOP/PASSPORT		Father's / Husband's Name
1)		
CNIC/NICOP #	Date of Expiry	
PASSPORT	Date of Expiry	
2)		
CNIC/NICOP #	Date of Expiry	
PASSPORT	Date of Expiry	
3)		
CNIC/NICOP #	Date of Expiry	
PASSPORT	Date of Expiry	
PART-D INSTRUCTION REGARDING OPERATIONS OF ACCOUNT		
Mark Tick <input type="checkbox"/> [v] in appropriate Box	<input type="checkbox"/> Singly (Either or Survivor)	<input type="checkbox"/> Attorney (Please provide power of attorney)
	<input type="checkbox"/> Jointly (Any _____)	<input type="checkbox"/> Any Other _____

Signature of Applicant(s) _____

PART-E NOMINEE DETAILS(OPTIONAL) <small>(as per section-80 of the Companies Ordinance, 1984 & Section 25 of the Central Depositories Act, 1997)</small>		
<small>(Note: In case of Joint IAS nomination is not allowed)</small>		
Name:	Father's Name	
Address:		
Contact #	Relation: <small>(should be either spouse,father,mother,brother,sister and son or daughter including step or adopted child)</small>	
CNIC/NICOP/Passport #	Date of Expiry	Place of Issue
	Date of Issue	
PART-F Signature(s)		
Name (Account Title)	Signature	Date
Name (Joint Holder -1)	Signature	Date
Name (Joint Holder -2)	Signature	Date
Name (Joint Holder -3)	Signature	Date



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PART-G DECLARATION		
I/we hereby acknowledge having read, understood and accepted the terms and conditions ("Terms & Conditions") that shall govern my/our opening of the account and transactions there-under. I/we further agree to abide by these Terms & Conditions which the Company may at its discretion amend by giving notice of reasonable period to me/us.		
Name (Account Title)	Signature	Date
Name (Joint Holder -1)	Signature	Date
Name (Joint Holder -2)	Signature	Date
Name (Joint Holder -3)	Signature	Date
FOR OFFICE USE ONLY		
Remarks (if any)		
Signature of Treasury Representative _____		
Name: _____	Date _____	Signature of Treasury Back Office _____

UNDERTAKING & DECLARATION

1. I/We have read, understand, accepted and agreed to be bound by the Terms and Conditions for Investor Portfolio Services Account.
2. I/We further declare that all the information contained in this form is true and correct.
3. In case of erroneous or unauthorized transfer or credit of book-entry securities into my/our IPS Account. I/We hereby irrevocably and unconditionally authorize Pak Oman Investment Company Limited (POICL) to rectify such entries.
4. I/We the undersigned, hereby declare that:
 - a) I am an Adult.
 - b) I am of sound mind.
 - c) I have not applied to be adjudicated as an insolvent.
 - d) I have not, before making this application for opening of IPS Account with POICL, been convicted of an offence involving moral turpitude or a non-cognizable offence against any law in connection with business, professional or commercial activities.
- e) In case of either (singly) or survivor operation. I or any joint holder of the account can notify POICL for change in address or closing of account.

TERMS AND CONDITIONS FOR IPS ACCOUNTS

1. Opening of an Investor Account:

- a) The IPS Account holder shall complete, sign and submit the IPS Account Opening Form to POICL for the opening of the IPS Account. The IPS Form shall be accompanied with such document as are listed there, POICL shall have the right not accept, without assigning any reasons whatsoever, any request for opening of the IPS Account.
- b) POICL shall have the right to close the IPS Account, if the details, particulars and other information set out in the IPS Account Opening Form relating to such IPS Account are found to be incorrect.

2. Delivery in:

The IPS Account shall be credited with any Book-Entry Securities that are:

- a) Deposited.
- b) Transferred from any other account, including an IPS Account (where permissible under the Regulations)

3. Delivery Out:

- a) The IPS Account shall be debited with any Book-Entry Securities that are transferred to any other account including an IPS Account holder.
- b) For the purpose of delivery-out, the IPS Account holder shall submit the written instruction to POICL. Incomplete or unsigned instruction or any orders covering any book-entry securities which are excess of the credit balance in the IPS Account Holder will not be accepted or acted upon.

4. No Liability of POICL:

- a) Neither POICL nor any of its directors, officers, employees or agents shall be liable for any loss or damage that may be suffered or sustained by the IPS Account holder in opening and maintaining the IPS Account.
- b) Neither POICL nor its directors, officers, employees or agents shall be liable for any loss or damage that may be suffered or sustained by the IPS account holder due to any negligence, inaction, fraud or failure to fulfil any legal obligation by any IPS account holder.



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- c) Neither POICL nor its director, officers, employees or agents shall, if acting in good faith and without having committed any negligent or wrongful act, be liable for any loss, destruction or misdelivery of any book-entry securities, or for any action taken or omitted or purported to be taken or omitted, in good faith, by any of them under or in connection with the Terms and Conditions, or if they are prevented or delayed by law or any circumstances beyond their control in performing POICL obligations under the Terms and Conditions.
- d) POICL shall be free of any and all liabilities for any loss of the book-entry securities in the IPS Account due to any Government act, orders, decrees, regulations, political disputes, laws, tax, embargo, moratorium, exchange control restrictions, expropriation, war, hostilities, civil disobedience, or any other cause beyond the control of POICL.

5. IPS Accountholder's Liability:

- a) The IPS Accountholder represents and warrants to POICL that the registration details and other information set out in the IPS Account Opening Form or in any other document, form, instruction or communication submitted by the IPS Accountholder to POICL in terms of the Terms and Conditions shall be true and correct. The IPS Accountholders shall indemnify POICL for any losses, damages, costs or expenses that may be suffered, incurred or sustained by POICL as a result of any inaccuracy or mis-statement contained in the IPS Account Opening Form or any other document, form, instruction or communication, as referred to above, submitted by the IPS Accountholder to POICL.
- b) The IPS Accountholder shall, during the subsistence of the IPS Account, comply with all applicable laws, rules and regulations including the Terms and Conditions.
- c) The IPS Accountholder shall keep all information pertaining to POICL's affairs which may come to the knowledge in strict confidence.
- d) In case the IPS Account is a joint account, the liability of the joint IPS accountholders of such IPS account shall be joint and several.

6. Suspension and Closing of IPS Account

- a) POICL may close the IPS account at any time by giving fifteen (15) Business Days' prior written notice to the IPS Accountholder. The IPS Accountholder may make representation against such notice within ten (10) days of receipt of the notice. The POICL's decision on such representation shall be final and binding.
- b) Notwithstanding any other provisions. POICL shall have the right, subject to prior written notice of reasonable period, to the IPS Accountholder to suspend the IPS Account at any time with such condition as to the closure of IPS Account, if the IPS accountholder commits a breach of any of the terms and conditions contained herein or any applicable laws, rules or regulations and such breach or violation, if
- c) The IPS Accountholder may at any time close IPS Account by submitting an Account Closing Request to POICL. Upon receiving such a request, POICL shall close the IPS Account as soon as practically possible.

7. Joint Accounts:

- a) If the IPS Account is in the name of two or more persons, the balance to the credit of such IPS Account shall belong to the IPS accountholders or the surviving IPS Accountholder(s) as joint holder.
- b) A joint IPS Account shall be allowed by POICL to be operated strictly in accordance with the instructions as regards operation of such IPS account contained in the IPS Account Opening Form.
- c) In the case of the death of the Joint IPS Accountholders, the survivor or survivors, as the case may be constitute the IPS accountholder(s) hereunder and subject to the law, may be allowed by POICL at its discretion, to continue to maintain and operate the IPS account.
- d) Whether or not the death of any or all of the IPS-accountholders shall have intervened, POICL may continue to act from time to time in reliance upon any signing authority conveyed until POICL shall have received notice in writing from or on behalf of any of them that such signing authority has been terminated or revoked by operation of law or otherwise.
- e) POICL is authorized to treat all the joint IPS accountholders as being alive unless a death certificate issued by a competent public functionary, or evidence satisfactory to POICL has been provided to POICL.
- f) If prior to acting on instructions received from one signatory, where the Joint IPS account is operated with single signing authority, POICL received contradictory instructions from another signatory, POICL shall immediately thereafter only act on the mandate of all the joint IPS accountholders or their authorized signatories.

8. Amendment to the Terms and Conditions:

- a) I/we hereby acknowledge that the POICL shall have the right at any time to amend, modify or substitute the Terms and Conditions. Any such amendment, modification or substitution shall be binding on me/us. The Company undertakes that such amendment, modification or substitution shall be placed on Company's Notice Board and also on its website.

ENCLOSURES

Individuals / Joint Accounts:

1. Attested / certified copy(ies) of CNIC(s) /NICOP/Passport
2. Attested / certified copy of Business Identity Card / Salary Slip
3. Power of Attorney (on Rs.200/- non-judicial stamp paper, if applicable)
4. Affidavit for nomination[s] (if applicable)